

Double-well potentials and exact solvability¹

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talk about harmonic oscillator twins

$$V(x) = V_{[d]}(x) = \begin{cases} (x-d)^2, & x > 0, \\ (x+d)^2, & x < 0 \end{cases}$$

♡ curiosity in physics: **never** mentioned in the textbooks on quantum mechanics

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♡ motivation in math: **relevant**. i.a., in the Thom's catastrophe theory (TCT)

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♡ reference: MZ, Quant. Rep. 4 (2022) 309 (arXiv:1607.01297v2)

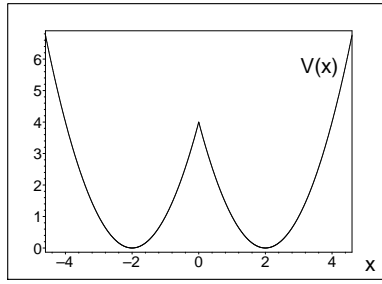


Figure 1: Double-well harmonic oscillator at $d = 2$.

CONTENTS

1. exact solvability (alternative definitions)
2. elementary model (use in physics)
3. non-polynomial quasi-exact states (math. method)
4. results (two types of solvability)
5. summary (plus some open questions)

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A. exact solvability: alternative definitions

◇ **main task**: find quantum bound states in 1D

> Schrödinger equation

$$-\frac{d^2}{dx^2} \psi_n(x) + V(x) \psi_n(x) = E_n \psi_n(x), \quad \psi_n(x) \in L^2(\mathbb{R}), \quad n = 0, 1, \dots$$

> **if analytic** $V(x)$ then Darboux/SUSY \rightarrow ES (**exact polynomial solvability**)

> or QES (**quasi-exact polynomial solvability**)

> **else**: square-well, etc \rightarrow matching method \rightarrow trigonometric formulae

♠ today: numerically inspired innovation

> standard 3D **shooting method** used in 1D

> **idea** (AHO, MZ 2016): 3D know-how ($V(x) = V(-x)$) + matching (at $x = 0$)

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> **simplification**: use **special functions** → old concepts with new names emerge:

> NS (**non-polynomial solvability**)

> QNS (**quasi-exact non-polynomial solvability**)

> **previous** results: DW exponentials (Bessel functions), DW Morse (Gauss functions)

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B. elementary model

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1st **question:** **where** is our model relevant?

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answer: in a **quantized** TCT (p.t.o.)

♣ the Thom's **classification of bifurcations**

> the classical equilibria = minima of Lyapunov function, i.e., e.g., of

$$\boxed{V(x) = V^{(\text{cusp})}(x, a, b) = x^4 + ax^2 + bx} \quad \text{which yields,}$$

in the $a - b$ plane, a spiked, **cusp-shaped** boundary between

> the single minimum regime (at $a > 0$) and the

> DW regime (at $a < 0$, with a not too large b)

> \exists **applications** (e.g., Josephson junction between BECs – Goldberg et al, 2019)

> but **not** in the **consequent** quantum theory, due to **tunneling**

♠ in the quantized TCT

the general and universal Arnold-Lyapunov polynomial

$$V(x) = (x, a, b, c, \dots) = x^{2k+2} + ax^{2k} + bx^{2k-1} + \dots$$

was locally approximated by the DW-like HOs:

MZ., Arnolds potentials and quant. catastrophes, Ann. Phys. 413 (2020) 168050,

<https://doi.org/10.1016/j.aop.2019.168050>.

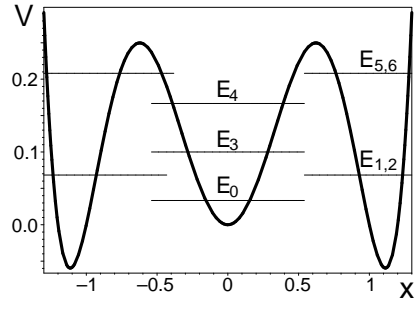


Figure 2: Quantum levels in the “butterfly” potential $V(x) = x^6 - 61/25 x^4 + 36/25 x^2$

✓ in detail:

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> in the **deep-well** regime we approximate

$$V^{(cusp)}(R_{\pm} + \xi, a, b) = V^{(cusp)}(R_{\pm}, a, b) + \omega_{\pm}^2 \xi^2 + \mathcal{O}(\xi^3) \quad \text{by}$$

$$V(x, a, b) = \min [\omega_-^2 (x - R_-)^2 + D_-, \omega_+^2 (x - R_+)^2 + D_+]$$

> then the **quantum bifurcation** occurs when $\omega_-^2 \approx \omega_+^2$ and $D_- \approx D_+$

> *so that* in **units** s.t. $\omega = 1$ we get our model, $\boxed{V_{[d]} = x^2 - 2d|x| + d^2}$.

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2nd **question:** **key** merit of DW HO?

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answer: **exact Jost** solutions available!

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> \exists **asymptotically correct** (and known, **confluent hypergeometric**) $\boxed{\psi(x) \sim {}_1F_1}$

& user-friendly standard $\boxed{\text{NS}}$ matching rules:

\diamond consider parity: $\psi(x) = \psi(x, E, p) = (-1)^p \psi(-x, E, p)$ with $p = 0$ or $p = 1$

\spadesuit normalize: $\lim_{x \rightarrow 0} \psi^{(+)}(x, E, 0) = \mathcal{N}_0 \neq 0$, $\lim_{x \rightarrow 0} (\psi_n^{(+)})'(x, E, 1) = \mathcal{N}_1 \neq 0$

\clubsuit use b. c.^s. on half-line: $\lim_{x \rightarrow 0} (\psi^{(+)})'(x, E, 0) = 0$, $\lim_{x \rightarrow 0} \psi^{(+)}(x, E, 1) = 0$.

& $\boxed{\text{the simplest QNS}}$ $N = 1$ single-well example: $d^{(QNS)} = -1$, $E = E_0^{(QNS)} = 3$,

$$\psi_0^{(QES)}(x) = \begin{cases} (1+x) e^{-(x^2/2+x)}, & x > 0, \\ (1-x) e^{-(x^2/2-x)}, & x < 0 \end{cases}$$

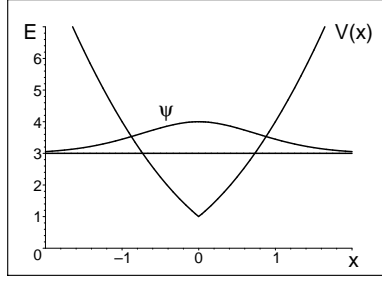


Figure 3: QNS ground state at $d = -1$.

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consequence: **all** NS & QNS **by matching!**

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C. non-polynomial quasi-exact states (the method)

♡ quartic QNS **AHO** model with $\boxed{\psi(x) = P(x) \exp W(x)}$

$$V^{(AHO)}(x) = \begin{cases} qx + rx^2 + sx^3 + x^4, & x < 0, \\ -qx + rx^2 - sx^3 + x^4, & x > 0, \end{cases}$$

> **method** = the matching of both of the $\boxed{\text{elementary ansatz}}$ branches at $x = 0$

> reference:

MZ, Symmetrized quartic polynomial oscillators and their partial exact solvability.
Phys. Lett. A 380 (2016) 1414 - 1418 (doi: 10.1016/j.physleta.2016.02.035) (arXiv:1602.07088)

♡ **the main trick**: the branching of asymptotics (with $\tilde{a} = a$ and $\tilde{b} = b$),

$$W_{(non-analytic)}(x) = \begin{cases} W_{(left)}(x) = +x^3/3 + a x^2 + b x, & x < 0, \\ W_{(right)}(x) = -x^3/3 + \tilde{a} x^2 - \tilde{b} x, & x > 0. \end{cases}$$

Ⓐ the $N = 2$ ansatz for $\psi^{(even)}(x)$ with $P_{(left)}(x, n) = (1 + ux + vx^2)$ yields

> 2 WKB constraints, $-vs + 4av = 0$, $4a^2v + 4au - vr - us + 2bv = 0$,

> obligatory linear coupling $q = q(a, b) = 4ab + 6$

> obligatory energy $E = -p$, i.e., $E = E(a, b, v) = \frac{2u}{v} - 10a - b^2$,

> plus the two matching constraints (P.T.O.)

> the two remaining equations are

$$4bv - q + 4ab + b^2u + 2 + 6au - up = 0, \quad 2a + 2bu + 2v - p + b^2 = 0.$$

> the first one offers the two eligible wave-function-coefficient roots

$$v = v_{\pm} = \frac{1}{4b} \left(-2ab + 2 \pm 2\sqrt{a^2b^2 - 2ab + 1 - 2b^3} \right)$$

> the second one leaves the real value of $b \neq 0$ independently variable,

$$a = a_{\pm} = \frac{1}{20b} \left(-7b^3 - 8 \pm 3\sqrt{b^6 - 12b^3 + 16} \right).$$

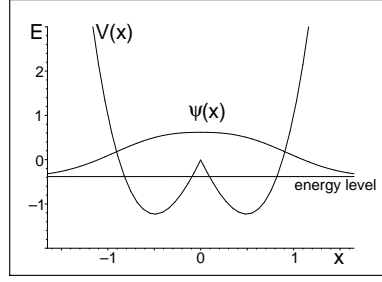


Figure 4: The QNS ground state in the $N = 2$ quartic potential at positive $b = 1$:

$$V_{\pm}(x) = \mp 3 \left(1 + 1/\sqrt{5}\right) x + \left(2 + 9 \left(-1 + 1/\sqrt{5}\right)^2 / 4\right) x^2 \mp 3 \left(-1 + 1/\sqrt{5}\right) x^3 + x^4$$

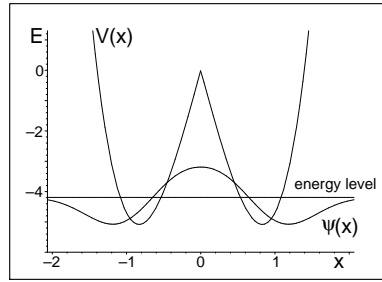


Figure 5: The second excited state at negative $b = -1$.

♠ in the general case we get $N + 1$ recurrences forming the linear eigenvalue problem

$$\begin{pmatrix} \mathcal{M}_{00} & \mathcal{M}_{01} & \mathcal{M}_{02} & 0 & \dots & 0 \\ \mathcal{M}_{10} & \mathcal{M}_{11} & \mathcal{M}_{12} & \mathcal{M}_{13} & \ddots & \vdots \\ 0 & \mathcal{M}_{21} & \mathcal{M}_{22} & \ddots & \ddots & 0 \\ 0 & \ddots & \ddots & \ddots & \mathcal{M}_{N-2N-1} & \mathcal{M}_{N-2N} \\ \vdots & \ddots & 0 & \mathcal{M}_{N-1N-2} & \mathcal{M}_{N-1N-1} & \mathcal{M}_{N-1N} \\ 0 & \dots & 0 & 0 & \mathcal{M}_{NN-1} & \mathcal{M}_{NN} \end{pmatrix} \begin{pmatrix} v_0 \\ v_1 \\ v_2 \\ \vdots \\ v_N \end{pmatrix} = p \begin{pmatrix} v_0 \\ v_1 \\ v_2 \\ \vdots \\ v_N \end{pmatrix}$$

with $E = -p$ and with matrix elements

$$\mathcal{M}_{k,k+2} = (k+1)(k+2), \quad k = 0, 1, \dots, N-2,$$

$$\mathcal{M}_{m,m+1} = 2b(m+1), \quad \mathcal{M}_{m+1,m} = -2(N-m), \quad m = 0, 1, \dots, N-1$$

$$\mathcal{M}_{n,n} = 4an + 2a + b^2, \quad n = 0, 1, \dots, N$$

which are linear functions of parameters a and b .

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D. present model: two types of its solvability

§ preliminary remarks

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> even our $N = 1$ QNS ground-state wave function is clearly non-analytic at $x = 0$,

$$\frac{d^3}{dx^3} \psi_0^{(QNS)}(0^+) = 2, \quad \frac{d^3}{dx^3} \psi_0^{(QNS)}(0^-) = -2$$

> due to the parity symmetry, it is sufficient to consider just $x \geq 0$

> \exists both the NS solutions ($N = \infty$) and the QNS states ($N < \infty$)

§ preliminary remarks ctd.

> the exponential factor is fixed, containing the displacement parameter d ,

$$\psi^{(QNS/NS)}(x, E) = e^{-x^2/2+dx} \times \sum_{k=0}^N a_k(E) x^k, \quad x \geq 0, \quad a_N \neq 0$$

> the parity-dependent energies $E_n^{(\pm)}$ are the roots of the matching rules

$$\psi_{(Jost)}(0, E^{(+)}) = 1, \quad \psi'_{(Jost)}(0, E^{(+)}) = 0$$

and

$$\psi_{(Jost)}(0, E^{(-)}) = 0, \quad \psi'_{(Jost)}(0, E^{(-)}) = 1.$$

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D.1. QNS solutions

♣ N -plet of relations between $N - 1$ unknown coefficients a_2, a_3, \dots, a_N and $d^{(QNS)}$

> $\boxed{N = 0}$ and $E = 1$:

= odd case: solution cannot exist

= even-parity solution only exists at $d = 0$

> $\boxed{N = 1}$ and $E = 3$: single constraint $2 a_0 + 2 d a_1 = 0$

= odd case: only $d = 0$

= even case: the first nontrivial QNS solutions with $d = \pm 1$

summary: demand that $d \neq 0$ (skip trivial HO)

> $\boxed{N = 2}$ and $E = 5$: two relations

$$4a_0 + 2da_1 + 2a_2 = 0, \quad 2a_1 + 4da_2 = 0.$$

= odd-parity: $d = d_{\pm} = \pm 1/\sqrt{2}$ and $a_2 = -d$.

= even-parity: $a_2 = 1/2$ and $d = \pm\sqrt{5/2}$.

> $\boxed{N = 3}$, odd-parity: triplet of relations

$$2 + 2d a_2 + 3a_3 = 0, \quad d + a_2 = 0, \quad a_2 + 3d a_3 = 0$$

easy solution $a_3 = 1/3$, $d = \pm\sqrt{3/2}$ and $a_2 = -d$.

> $\boxed{N = 3}$, even-parity conditions

$$-2d + 2da_2 + 3a_3 = 0, \quad 3 - d^2 + a_2 = 0, \quad a_2 + 3da_3 = 0$$

> solution: quadruplet of eligible QNS shifts

$$d_{\pm, \pm} = \pm \sqrt{\frac{9 \pm \sqrt{57}}{4}}.$$

every root defines the coefficients,

$$a_2 = \frac{2d^2}{2d^2 - 1}, \quad a_3 = \frac{2d}{6d^2 - 3}.$$

✓ systematic approach

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> normalization conventions: $a_{N+1} = a_{N+2} = \dots = 0$ and

$$\left\{ \begin{array}{ll} a_0 = 1, & a_1 = -d, & \text{parity} = \text{even}, \\ a_0 = 0, & a_1 = 1, & a_2 = -d, & \text{parity} = \text{odd}. \end{array} \right.$$

> SE equivalent to linear recurrences

$$(E - 1 - 2n) a_n + 2d(n + 1) a_{n+1} + (n + 1)(n + 2) a_{n+2} = 0, \quad n = 0, 1, \dots, N.$$

> the last, $n = N$ item fixes the energy, $E^{(QNS)} = 2N + 1$

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D.1.1. Even-parity problem

§ preliminary step (a)

> we will need the tridiagonal k by k matrices

$$\mathcal{P}^{(N,k)}(d) = \begin{pmatrix} d & 1 & 0 & 0 & \dots & 0 \\ 2N & 2d & 2 & 0 & \ddots & \vdots \\ 0 & 2N-2 & 4d & 6 & \ddots & 0 \\ 0 & \ddots & \ddots & \ddots & \ddots & 0 \\ \vdots & \ddots & 0 & 2(N-k+3) & 2(k-2)d & (k-1)(k-2) \\ 0 & \dots & 0 & 0 & 2(N-k+2) & 2(k-1)d \end{pmatrix}.$$

♡ result (a): QNS bound states with even parity

Theorem 1 *In terms of matrices $\mathcal{P}^{(N,k)}(d)$ the QNS wave-functions are known,*

$$a_k = a_k^{(N)} = \frac{(-1)^k}{k!(k-1)!} \det \mathcal{P}^{(N,k)}(d), \quad k = (1, 2, \dots) 3, 4, \dots$$

Theorem 2 *The QNS constraint $a_{N+1}^{(N)} = 0$, i.e., the polynomial algebraic equation*

$$\det \mathcal{P}^{(N,N+1)}(d) = 0$$

defines the N -plet of the admissible values of the shift parameter $d = d^{(QNS)}$.

Table 1: QNS equations at the first few N .

1	$-2 + 2 d^2$	$d + a_1 = 0$
2	$-5 + 2 d^2$	$-1 + 2 a_2 = 0$
3	$3 - 9 d^2 + 2 d^4$	$-8 d + 2 d^3 + 3 a_3 = 0$
4	$27 - 28 d^2 + 4 d^4$	$11 - 2 d^2 + 12 a_4 = 0$
5	$-15 + 75 d^2 - 40 d^4 + 4 d^6$	$29 d - 19 d^3 + 2 d^5 + 15 a_5 = 0$
\vdots	\dots	\dots

D.1.2. Odd-parity problem

§ preliminary step (b)

> we will need the tridiagonal k by k matrices

$$\mathcal{Q}^{(N,k)}(d) = \begin{pmatrix} 2d & 2 & 0 & \dots & 0 \\ 2N-2 & 4d & 6 & \ddots & \vdots \\ 0 & 2N-4 & \ddots & \ddots & 0 \\ \vdots & \ddots & \ddots & 2(k-1)d & k(k-1) \\ 0 & \dots & 0 & 2(N-k+1) & 2kd \end{pmatrix}.$$

♡ result (b): QNS bound states with odd parity

Theorem 3 *In terms of matrices $\mathcal{Q}^{(N,k)}(d)$ the QNS wave-functions are known,*

$$a_{k+1} = a_{k+1}^{(N)} = \frac{(-1)^k}{(k+1)!k!} \det \mathcal{Q}^{(N,k)}(d), \quad k = 1, 2, \dots$$

Theorem 4 *The QNS constraint $a_{N+1}^{(N)} = 0$, i.e., the polynomial algebraic equation*

$$\det \mathcal{Q}^{(N,N+1)}(d) = 0$$

defines the N -plet of the admissible values of the shift parameter $d = d^{(QNS)}$.

Table 2: QNS equations.

2	$-1 + 2 d^2$	$d + a_2 = 0$.
3	$-3 + 2 d^2$	$-1 + 3 a_3 = 0$.
4	$3 - 12 d^2 + 4 d^4$	$-5 d + 2 d^3 + 6 a_4 = 0$.
5	$15 - 20 d^2 + 4 d^4$	$7 - 2 d^2 + 30 a_5 = 0$.
\vdots	\dots	\dots	.

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D.2. NS solutions

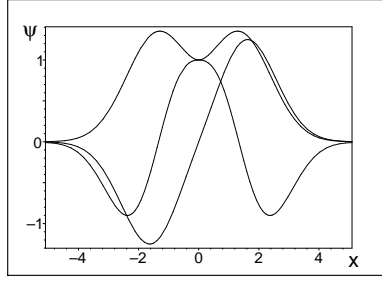


Figure 6: The first three double-well NS wave functions at non-QNS $d = 3/2$.

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D. 2. 1. the single well scenario

✓ single well in systematic approach

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> the change of variables with $d = -\mu^2 < 0$,

$$x - d = \sqrt{z} \in (-d, \infty), \quad \psi(x) = \exp(-z/2) w(z).$$

> Kummer's equation with $a = (1 - E)/4$ and $b = 1/2$,

$$z \frac{d^2 w(z)}{dz^2} + (b - z) \frac{dw(z)}{dz} - a w(z) = 0, \quad z \in (\mu^4, \infty)$$

> no branch point,

$$\begin{aligned}
w_{(even)}(z) &= M(a, b, z) = {}_1F_1(a, b, z) = \\
&= \sum_{s=0}^{\infty} \frac{(a)_s}{(b)_s s!} z^s = 1 + \frac{a}{b} z + \frac{a(a+1)}{b(b+1)2!} z^2 + \dots \\
w_{(odd)}(z) &= z^{1-b} M(a-b+1, 2-b, z) .
\end{aligned}$$

> asymptotic boundary condition,

$$\begin{aligned}
w_{(physical)}(z) &= U(a, b, z) = \frac{\Gamma(1-b)}{\Gamma(a-b+1)} M(a, b, z) + \\
&+ \frac{\Gamma(b-1)}{\Gamma(a)} z^{1-b} M(a-b+1, 2-b, z) .
\end{aligned}$$

> at $x \geq 0$,

$$\psi(x) = \psi(x, E) == \exp(-(x + \mu^2)^2/2) U((1 - E)/4, 1/2, (x + \mu^2)^2) .$$

> numerical performance reflects our expectations

= ground state pushed up to $E_0 = E_0(-\mu^2)$ with $E_0(-0.25) \approx 1.3349$ and $E_0(-1) = 3$

= the second excitation moves from initial $E_2(0) = 5$ to $E_2(-1) \in (8.658, 8.659)$, etc.

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D. 2. 2. the double-well scenario

✓ double well with $d = \nu^2 > 0$ in systematic approach

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> the change of variables with a branch in $x - d = \pm\sqrt{z}$ under explicit control.

> initial boundary condition and oscillation theorem strategy with

$$\psi(x) = \psi(x, E) = C_1 w_{(even)}[z(x)] + C_2 w_{(odd)}[z(x)] .$$

> matching at $x = 0$ (i.e., at $z = \nu^4$) below the square-root branch.

Table 3: The shift-dependence of the ground-state energy.

shift d	0.00	0.25	0.50	0.75	1.00	1.50	2.00	∞
energy $E_0(d)$	1.00	0.76897	0.63553	0.59030	0.6189	0.80149	0.9514	1.00

Table 4: The shift-dependence of the 1st-excited-state energy.

shift d	0.00	0.25	0.50	0.75	1.00	1.50	2.00	∞
energy $E_0(d)$	3.00	2.4839	2.0608	1.7247	1.4685	1.15748	1.0358	1.00

Table 5: Shift-dependence of the 2nd-excited-state energy (at $d = 1$ the state is quasi-exact).

shift d	0.00	0.25	0.50	0.75	1.00	1.50	2.00	∞
energy $E_0(d)$	5.00	4.347	3.794	3.3447	3.00	2.649	2.735	3.00

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E. SUMMARY

talk about harmonic oscillator twins

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♡ reference: MZ, Quant. Rep. 4 (2022) 309 (arXiv:1607.01297v2)

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THANKS FOR YOUR ATTENTION !

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SEE YOU ALL NEXT YEAR IN PRAGUE!